

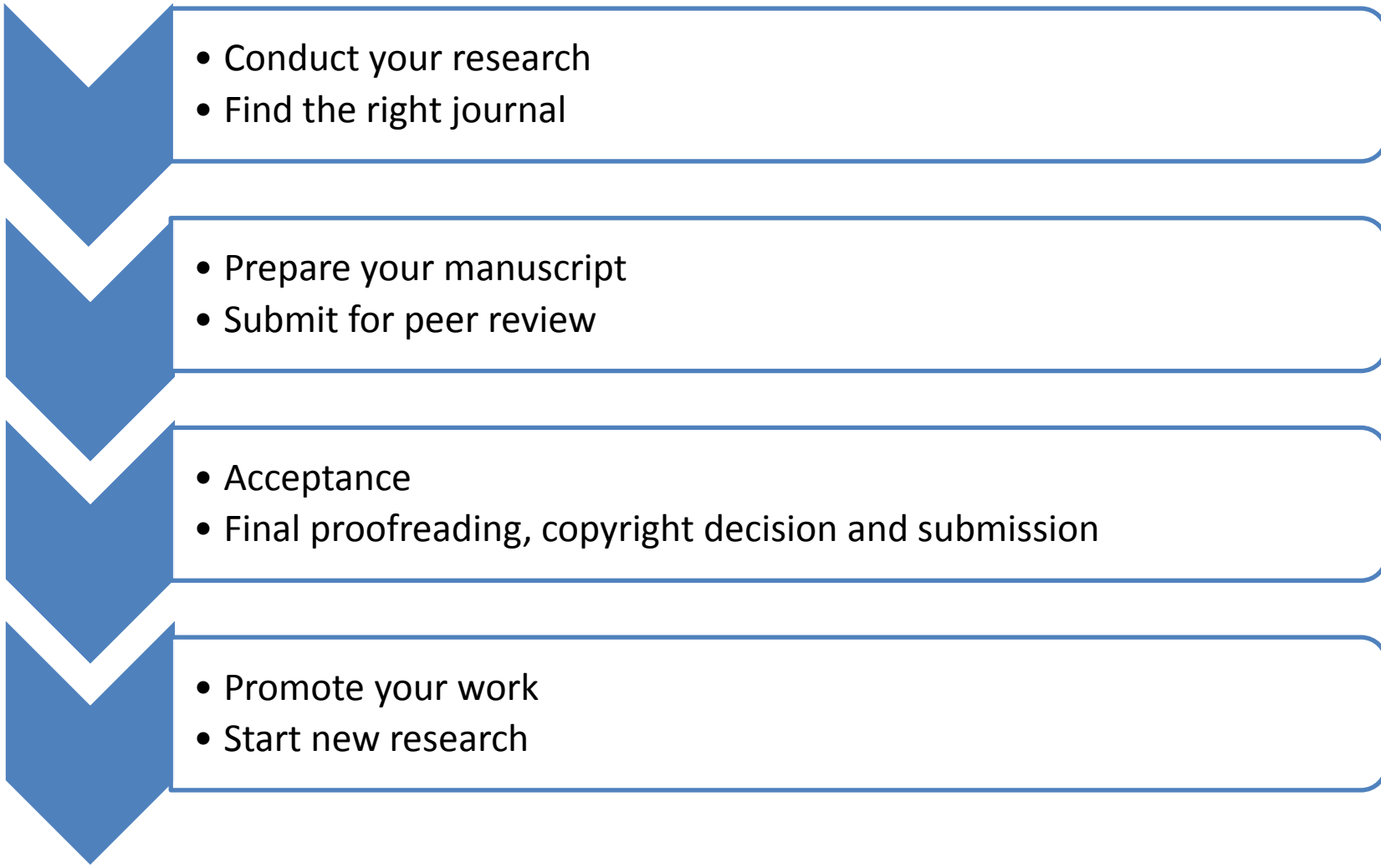
Publishing your research



What Open Access means for you?

Nia Wyn Roberts, Bodleian Health Care Libraries, 22nd September 2015

Traditional publishing

- 
- Conduct your research
 - Find the right journal


- Prepare your manuscript
- Submit for peer review


- Acceptance
- Final proofreading, copyright decision and submission


- Promote your work
- Start new research

Open Access future

- 
- Conduct your research
 - Find the right journal – **Open Access or traditional/hybrid?**

- 
- Prepare your manuscript
 - Submit for peer review

- 
- Acceptance
 - **Decision on Open Access vs traditional publishing and archive**
 - Final proofreading, copyright and submission

- 
- Promote your work
 - Start new research

What is Open Access?

“free availability and unrestricted use”

- Free online access to publicly-funded research, especially journal articles & conference papers
- Open Access(OA) is about removing **price barriers** (subscriptions, licensing fees, pay-per-view fees) and **permission barriers** at the point of use.





Gold Route



thebmj



THE LANCET

- Immediate open access to final published version
- No cost to reader
- Author will need to pay an Article Processing Charge (APC)
- Journal could be
 - Fully OA
 - ‘Hybrid’ (subscription journal with OA option)

Green Route



- Publish in subscription journal.
- Deposit the author accepted manuscript /postprint in a repository
 - Institutional e.g. **ORA**
 - Subject e.g. **PubMedCentral**
- It goes OA after **embargo period** specified by publisher.

Funders with OA Policy



CANCER
RESEARCH
UK

LEUKAEMIA
& LYMPHOMA
RESEARCH 
Beating Blood Cancers



wellcome^{trust}



Arthritis
Research UK



European Research Council

NHS
*National Institute for
Health Research*



- Applies to peer-reviewed research articles:
 - that acknowledge Research Council funding e.g. MRC
 - are submitted for publication from 1 April 2013
 - are published in journals or conference proceedings
- Articles must be published in journals that allow:
 - either immediate **Gold** OA with a CC-BY licence
 - or **Green** OA via EPMC after embargo period – 6 mths for MRC
- Articles must include:
 - details of funding (incl. grant number)
 - statement about access to underlying research materials/data
- RCUK provides grantholders with additional funding, through their institutions for OA charges



- Applies to peer-reviewed research articles
 - that acknowledge WT funding, in part or whole
 - are peer review journal articles or conference proceedings submitted for publication since 2006
 - Are scholarly monographs or book chapters submitted since 2013
- Articles must be published in journals that allow:
 - either immediate **Gold** OA with a CC-BY licence
 - or **Green** OA via PMC after embargo period – 6 mths
- Articles must include:
 - details of funding (incl. grant number)
 - statement about access to underlying research materials/data
- RCUK provides grantholders with additional funding, through their institutions for OA charges



National Institute for Health Research

- Applies to peer-reviewed research articles:
 - that acknowledge NIHR funding
 - are submitted for publication from 1 April 2014
 - are published in journals or conference proceedings
- Articles must be published in journals that allow:
 - either immediate **Gold** OA with a CC-BY licence
 - or **Green** OA via EPMC after embargo period – 6 mths
- Articles must include:
 - details of funding (incl. grant number)
 - statement about access to underlying research materials/data
- Aiming for 100% compliance by 2017/2018



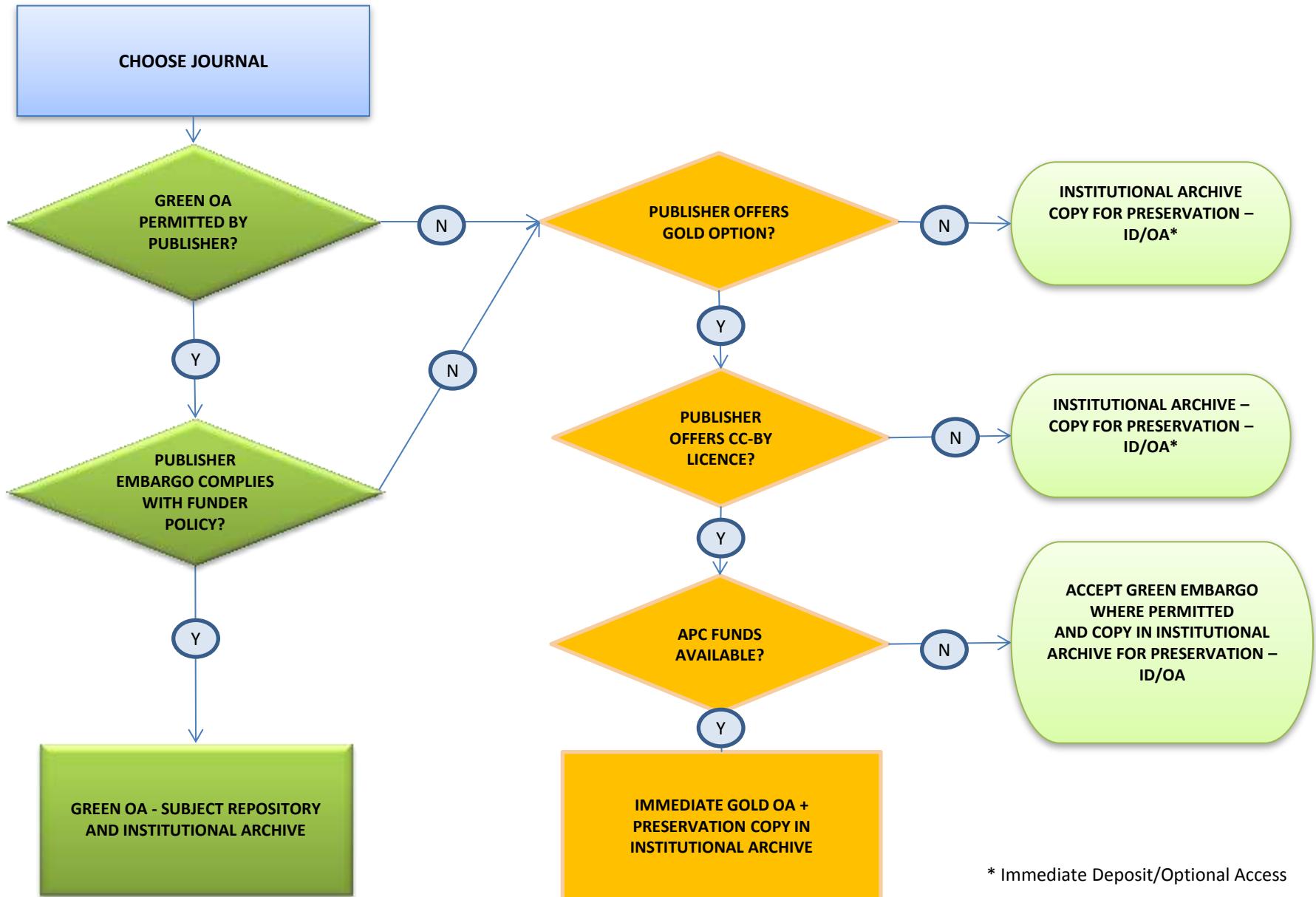
National Institute for Health Research

- Further information that came out of NIHR meeting with King's College, London:
 - Researchers funded before April 2014 are covered by original contract
 - For researchers wholly funded by NIHR – the 'main' article must be OA via *gold route*
 - The preference is for publication in an NIHR journal www.journalslibrary.nihr.ac.uk
 - Subsidiary papers can be made OA via *green route* and deposited in EuropePMC within 6 months.
 - BRC/NIHR programme managers may agree to exceptions
 - APCs should be built into grant applications under “dissemination costs” – recommend an £1800 average cost

University OA Policies

- Check your own institutional policies
- Oxford example:
 - The University of Oxford is strongly committed to ensuring the widest possible access to research.
 - Our academics, researchers, staff and students must be free to publish in the journal of their choice, maintaining academic freedom.
 - Oxford Research Archive (ORA) was established in 2007. It provides a permanent and secure archive of our research and a means for institutional compliance with funders' Open Access requirements.
 - The University favours, now and for the foreseeable future, open access by means of the **Green Route**.

What to do as a researcher?



* Immediate Deposit/Optional Access

Practical issues

- Article processing charges
- Creative commons licences
- Tools to help:
 - Check JOURNAL policy on [Sherpa Romeo](#)
 - Check FUNDER policy on [Sherpa Juliet](#)
 - Combine them with www.sherpa.ac.uk/fact/
 - Directory of Open Access Journals

Article processing charges

- An APC is a fee paid to the publisher to make an article free at point of access.
- The cost of publication is moved from the reader (via subscriptions and pay-walls) to the author (via the APC).
- Average APC = £1821 (+ VAT).
- If APC paid, article needs CC-BY licence.
- Does your institution administer block grants on behalf of your funder?
- Do you need to include OA fees in the dissemination part of grant applications?

Creative Commons Licence

- Alternative to Copyright Transfer Agreement
- Author grants license to publish
 - **Author retains copyright**
- Types of licence: reuse of content?
 - **CC-BY** (Attribution) - RCUK Gold
 - **CC-BY-NC** (Noncommercial) – RCUK Green
 - **CC-BY-NC-ND** (No Derivatives) - HEFCE
- <http://creativecommons.org/licenses/>

Example 1

Wellcome Trust researcher wants to publish a paper in the Lancet

- Check **SHERPA FACT** for journal & funder:
 - WT prefers immediate OA, but will allow OA within 6 months through deposit in PMC or EPMC
 - Journal has a special embargo period of 6 months for this funder
 - You can be compliant with the funder policy by depositing the article in PMC or EPMC at 6 months

Funders & Authors Compliance Tool

Helping you comply with research funders' policies on open access to publications

Funder:

Wellcome Trust

Journal:

Lancet

ISSN: 0140-6736, Publisher: Elsevier

✓ You can comply with your funder's policy:

✓ You can publish your article compliantly with open access

Paid open access option available - [Open Access](#) - with a range of Creative Commons licences to be selected or confirmed by the author.
Indicative fee: £400 per page

✓ You can archive your article compliantly in [Europe PMC/Pubmed Central](#)

This journal has a compliant special embargo of 6 months for archiving articles for your funder.

Publishing with open access is preferred, although self-archiving is also acceptable.

Publishing with open access:

Your article is published under one of a range of Creative Commons Licences.

To ensure compliance with your funder's policy:

- Confirm that it is published under a [Creative Commons](#) Attribution (CC-BY) or more open licence. [?](#)

Your notification of acceptance should invite you to use the open access option. If not, or if already published, contact the journal's editor.

Self-archiving:

Please ensure that your article is deposited in [Europe PMC/Pubmed Central](#) within 6 months of publication.

[RoMEO record](#)
[JULIET record](#)
[Decision Trail](#)

Please discuss any difficulties with your [editor/publisher](#). Contact your [funder](#) if your issues are not resolved.
Please [send feedback to FACT](#) regarding inaccurate or missing data. Data updated: 09-Apr-2013.

Example 2

NIHR researcher wants to publish a paper in British Journal of General Practice

- Check [SHERPA ROMEO](#) for journal:
 - There is an **APC** option (£1700)
 - The journal doesn't allow archiving
 - Check BJGP web-site for further information
- Check [SHERPA JULIET](#) for funder:
 - Funder requires OA within 6 months– so you need to pay APC to comply

Journal: [British Journal of General Practice](#) (ISSN: 0960-1643, ESSN: 1478-5242)

RoMEO: This is a RoMEO white journal

Paid OA: A paid open access option is **available** for this journal.

Author's Pre-print: **X** author **cannot** archive pre-print (ie pre-refereeing)

Author's Post-print: **X** author **cannot** archive post-print (ie final draft post-refereeing)

Publisher's Version/PDF: — archiving status unknown

Mandated OA: (Awaiting information)

Paid Open Access: [Open Access Publication](#)

Notes:
• Publisher last review

Copyright: [Policy](#)

Updated: 30-Jul-2015 - [Suggest an update](#)

Link to this page: <http://www.sherpa.ac.uk/oc>

Published by: [Royal College of General Practitioners](#)

[Department of Health \(DH\)](#) / [National Institute for Health Research \(NIHR\)](#)

Country: United Kingdom

Member of: [Europe PMC Funders' Group](#) (Europe PMC Group)

[Open Access Archiving](#) | [Open Access Publishing](#) | [Data Archiving Policy](#) | [Key to OA Ticks](#)

Publications Policy

Open Access Archiving



Whether to Archive: * Requires deposition in Open Access archives



What to Archive: * Peer-reviewed publications, Conference papers
* Publisher's version (Alternative) and/or Author's final version (Alternative)
* Unspecified format



When to Archive: * At the earliest possible opportunity
* Acceptable embargo: up to 6 months after publication

Where to Archive: * In appropriate institutional repositories (Optional)
* In appropriate disciplinary repositories (Optional)
* In named repositories... (Required)
* [Europe PMC](#) (Required)

Archiving Conditions: * [No information]

Open Access Publishing



Whether to Publish: * Requires publication in Open Access publications

Where to Publish: * in a peer-reviewed open access journal (Optional)
* as an article with immediate paid-for open access (Optional)

Publishing Conditions: * Costs of open access publication may be reimbursed by the Department of Health

General

General Conditions: * Effective for all new projects from 01-Apr-2014
* The Department of Health encourages compliance for all earlier projects
* Applies to all projects funded totally or partly by the Department of Health
* Department of Health / National Institute for Health Research requires the use of the Creative Commons Attribution-NonCommercial-ShareAlike license
* Department of Health / National Institute for Health Research prefers Publisher Open Access
* Open Access fees may be supported by the original award or via NIHR Open Access Fund
* Applies to all applicable articles that are submitted for publication from 1st April 2014.

Policy Links: * [NIHR Policy on Open Access for its funded research](#)



- New HEFCE policy for journal articles & conference papers accepted for publication after **1 April 2016**
- To be eligible for submission to the post-2014 REF, final peer-reviewed manuscripts must be deposited in an *institutional or subject repository* **within 3 months of acceptance** for publication.
- They must then be OA within 12 months
- Applies to journals and conference proceedings with an ISSN
- But NOT monographs, book chapters, or data.
- Full HEFCE policy <http://www.hefce.ac.uk/rsrch/oa/Policy/>
- Contact OA unit at your university for local procedures

More information

- Contact your local Open Access team
- Contact your programme manager at the funding body or look at funder OA information
- Summary of funder policies and latest news from OA Oxford <http://openaccess.ox.ac.uk/>
- Check journal and funder policies:
 - <http://www.sherpa.org.uk/fact>
 - <http://www.sherpa.org.uk/romeo>
 - <http://www.sherpa.org.uk/juliet>
 - <http://www.doaj.org/>

Questions?

